3,

ATTORNEY DOCKET NO. 069078.0104

PATENT APPLICATION 09/844,170

1

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James M. FLORENCE

Serial No.:

09/844,170

Filed:

April 25, 2001

Group No.:

2874

Examiner:

Hemang Sanghavi

Notice of Allowance Mailed:

October 2, 2002

Confirmation No:

1739

Title:

METHOD AND APPARATUS FOR

SWITCHING OPTICAL SIGNALS WITH

A PHOTON BAND GAP DEVICE

#### ATTENTION: PETITIONS EXAMINER FRANCES HICKS

#### BOX DAC

Office of Petitions in the Office of the Deputy Commissioner for Patent Examination Policy Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

#### CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper and any enclosures are being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below

Signature:

# JRGENT

FAX RECEIVED

'JAN 2 1 2003

### PETITION UNDER 37 C.F.R. \$1.313(c)(2)

#### FOR WITHDRAWAL FROM ISSUE

PETITIONS OFFICE

Pursuant to the provisions of 37 C.F.R. \$1.313(c)(2), it is respectfully requested that this application be withdrawn from issue for consideration of a Request for Continued Examination under 37 C.F.R. \$1.114. The present situation was

01/22/2003 RKELLEY 00000007 020384 09844170

01 FC:1460

130.00 CH DAL01:716962.1 •3

. 8

ATTORNEY DOCKET NO. 069078.0104

PATENT APPLICATION 09/844,170

2

discussed by telephone with Petitions Examiner Frances Hicks on January 21, 2003.

In more detail, a Notice of Allowance has been issued, the issue fee has been paid, and a patent is scheduled to issue on January 28, 2003. However, Applicant has received documents which were cited in a corresponding PCT application, which are not of record in the application, and which Applicant wishes to submit with an Information Disclosure Statement. Accordingly, this Petition is being filed by facsimile in order to request that the present application be immediately withdrawn from issue under 37 C.F.R. \$1.313(c)(2) in order to permit consideration of a Request for Continued Examination under 37 C.F.R. §1.114. Request for Continued Examination is being filed by facsimile concurrently with this Petition. Aв explained in accompanying Request for Continued Examination, the "submission" required in association with the Request for Continued Examination will be the Information Disclosure Statement mentioned above. The provisions of MPEP \$609-B(4) indicate that, where the size of the Information Disclosure Statement makes its submission by facsimile impractical (which is the case here), the Information Disclosure Statement does not need to be sent by facsimile with this Petition and the associated Request for Continued Examination. Accordingly, in this case, the Information Disclosure Statement will be submitted in due course. The accompanying Request for Continued Examination includes a request for brief suspension of action under 37 CFR \$1.103, in order to give Applicant time to file the Information Disclosure Statement.

45

Ë

ATTORNEY DOCKET NO. 069078.0104

PATENT APPLICATION 09/844,170

3

#### Petition Fee Required Under 37 CFR \$1.17(h)

The Commissioner is hereby authorized to charge to Deposit Account No. 02-0384 of Baker Botts L.L.P. the petition fee of \$ 130.00 (Fee Code 1460) required under 37 CFR §§ 1.313 and 1.17(h).

#### Deposit Account Authorization for Excess/Deficiency

The Commissioner is hereby authorized to credit any overpayment, or to charge any additional fee required by this paper, to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted, BAKER BOTTS L.L.P. Attorneys for Applicant

T. Murray Smith Reg. No. 35,222 (214) 953-6684

BAKER BOTTS, L.L.P. 2001 Ross Avenue Suite 600 Dallas, TX 75201-2980 (214) 953-6500

Date: January 21, 2003

Enclosures: Request for Continued Examination



Fax receipt will not be confirmed by phone unless requested.

2001 KOSS AVENUE DALLAS, TEXAS 75201-2980 214.953.6500 FAX 214.953.6503 www.bakerballs.com

**AUSTIN** BAKU DALLAS HOUSTON LONDON NEW YORK RIYADH WASHINGTON

το	Petitions Examiner I	FAX NO. 703-308-6916			
FIRM/COMPANY U.S. Patent and Trademark Office				VOICE NO. 703-305-8680	
FROM	T. Murray Smith	VOICE NO.	214.953.6684	DATE January 21, 2003	
RETURN TO	Judy Baggett	FAX NO.	214.953.6503	PAGES (including cover) 7	

#### MESSAGE

In re Application of: James M. Florence

Examiner: Hemang Sanghavi

Serial No .:

09/844,170

2874 Group:

Filed: Title:

April 25, 2001

METHOD AND APPARATUS FOR SWITCHING OPTICAL

**FAX RECEIVED** 

SIGNALS WITH A PHOTON BAND GAP DEVICE

JAN 2 1 2003

Attorney Docket No.

069078.0104

PETITIONS OFFICE

## JRGENT:

Please immediately notify Petitions Examiner Frances Hicks at 703-305-8680) that this cover sheet (with the enclosed Petition and RCE Transmittal) has been received. Thank you.

#### CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this cover sheet with the enclosed Petition and RCE Transmittal are being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Signature:

Date: 21 January 2003

Notice of Confidentiality

The information contained in and transmitted with this facsimile is: 1. Subject to the Attorney-client Privilege; 2. Attorney Work Product; or 3. Confidential. It is intended only for the individual or entity designated above. You are hereby notified that any dissemination, distribution, copying, or use of or reliance upon the information contained in and transmitted with this facsimile by or to anyone other than the recipient designated above by the sender is unauthorized and strictly prohibited. If you have received this facsimile in error, please notify Baker Botts L.L.P. by telephone at 214.953.6740 immediately. Any facsimile erroneously transmitted to you should be immediately returned to the sender by U.S. Mail or, if authorization is granted by the sender, destroyed.

If you do not receive all pages, please call: 214,953,6566 or 214,953,6740 for assistance.

BILLING NO

069078.0104

ATTORNEY/EMPLOYEE NO.

03329

CRITICAL DEADLINE, SEND BY

ASAP